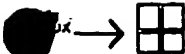


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		Application Number	09/730,034
		Filing Date	December 4, 2000
		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
		Examiner Name	Unassigned
Sheets 1 of 10	Attorney Docket Number	003801.P011	

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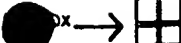
U.S. PATENT DOCUMENTS				
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	Number	Name of Patentee or Applicant of Cited Document		
mt	3,581,072	Nymayer	5/25/1971	3/28/1968
	3,573,747	Adams, et al.	4/6/1971	2/24/1969
	4,412,287	Braddock, III	10/25/1983	9/15/1982
	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
	4,789,928	Fujisaki	12/6/1988	1/30/1987
	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
	4,823,265	Nelson	4/18/1989	5/11/1987
	4,903,201	Wagner	2/20/1990	11/3/1983
	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
mt	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
↓	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
↓	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
mt	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
↓	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
↓	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
↓	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	<i>Harproff</i>	Date Considered	Oct 18 / 05
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		Filing Date	December 4, 2000
		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
Examiner Name	Unassigned		
Attorney Docket Number	003801.P011		
Sheet 2 of 10			

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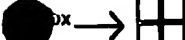
U.S. PATENT DOCUMENTS				
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	Number			
<i>mt</i>	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
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	5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
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	5,297,032	Trojan, et al.	3/22/1994	2/1/1991
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	5,325,297	Bird, et al.	6/28/1994	6/25/1992
	5,329,589	Fraser, et al.	7/12/1994	6/3/1993
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	Office or Country	Number	Date		
<i>mt</i>	Netherland	9300266 ✓	2.10.1993		
	France	2658635-A1 ✓	2.6.1991		
	Canada	2,253,543 ✓	3/19/1997		Yes

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
<i>mt</i>	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 1580-1584, Vol. 10, No. 3	
	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

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			Application Number	09/730,034	
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			First Named Inventor	Alex D. Poon	
			Group Art Unit	2152	
Examiner Name	Unassigned				
Attorney Docket Number	003801.P011				
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U.S. PATENT DOCUMENTS


Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
OPE APR 16 2002 PATENT & TRADEMARK OFFICE	5,426,281	Abecassis	6/20/1995	10/29/1993
	5,553,145	Micali	9/3/1996	8/4/1995
	5,640,569	Miller, et al.	6/17/1997	4/28/1995
	5,664,115	Fraser	9/2/1997	6/7/1995
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	5,710,889	Clark, et al.	1/20/1998	6/7/1995
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	5,717,989	Tozzoli, et al.	2/10/1998	10/13/1994
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	5,818,914	Fujisaki	10/6/1998	3/27/1995
	5,826,244	Huberman	10/20/1998	8/23/1995

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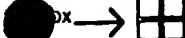
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	Office or Country	Number	Date			

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
3f	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
	LEE, G., " Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
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Examiner Signature		Date Considered	Oct 18, 05
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		Application Number	09/730,034
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		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
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Attorney Docket Number	003801.P011		
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
U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
JF	5,835,896		Fisher, et al.	11/10/1998	3/29/1996
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	Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
JF	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
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Examiner Signature		Date Considered	Oct 18 / 05
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			Application Number	09/730,034	
			Filing Date	December 4, 2000	
			First Named Inventor	Alex D. Poon	
			Group Art Unit	2152	
Examiner Name	Unassigned	RECEIVED APR 16 2002 <i>Technology Center 2100</i>			
Attorney Docket Number	003801.P011				
Sheet	5	of	10		


U.S. PATENT DOCUMENTS					
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OTHER DOCUMENTS		
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	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electric Markets</u> , October 1993, No. 9/10	
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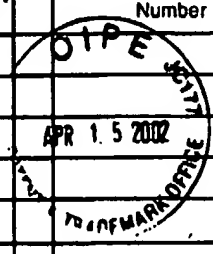
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Sheet	6	of	10	Attorney Docket Number	003801.P011

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3k	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	
	LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	
	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	
	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	

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			Application Number	09/730,034
			Filing Date	December 4, 2000 RECEIVED
			First Named Inventor	Alex D. Poon
			Group Art Unit	2152 APR 16 2002
Examiner Name	Unassigned Technology Center 2100			
Sheet 7 of 10	Attorney Docket Number 003801.P011			

U.S. PATENT DOCUMENTS				
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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS		
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31	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1	

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Substitute for Form 1449A/P10 (Modified) (use as many sheets as necessary) Page 8 of 10	Attorney Docket No.: 003801.P011	Application Number: 09/730,034
	First Named Inventor:	Alex D. Poon
	Filing Date:	December 4, 2000

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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			4,864,516		Gaither et al.	09-05-1989
			5,205,200		Wright	04-27-1993
			5,485,510		Colbert	01-16-1996
			5,557,728		Garrett et al.	09-17-1996
			5,598,557		Doner et al.	01-28-1997
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			5,694,546		Reisman	12-02-1997
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			5,715,314		Payne et al.	02-03-1998
			5,771,291		Newton et al.	06-23-1998
			5,771,380		Tanaka et al.	06-23-1998

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		Office ²	Number ⁴	Kind Code ³ (If known)				
		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

Examiner Signature	<i>Haynes</i>	Date Considered	Oct 18/05
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

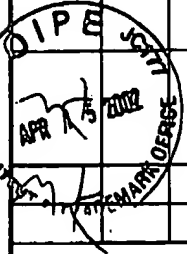
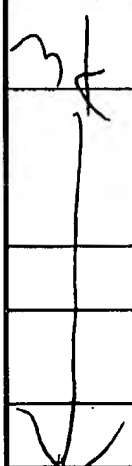

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 9 of 10		Attorney Docket No.: 003801.P011		Application Number: 09/730,034		
		First Named Inventor: Alex D. Poon				
		Filing Date: December 4, 2000				
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10				Attorney Docket No.: 003801.P011		Application Number: 09/730,034	
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